

PSI



Lab2

First-principles calculations of electronic properties of materials:
the case study of bulk CaO

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Outline

1. Introduction to the Quantum ESPRESSO project
2. Description of the input file for the pw.x code
3. Performing the calculation

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The Quantum ESPRESSO project



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Guernica - Pablo Picasso (Pablo Ruiz Picasso) - Register number: DE000050 - Date of creation: 1937 (May 1st-June 4th, Paris)

www.quantum-espresso.org

QUANTUM ESPRESSO

Is an integrated suite of Open-Source computer codes for electronic-structure calculations and materials modeling at the nanoscale. It is based on density-functional theory, plane waves, and pseudopotentials.

[READ MORE](#)

The Quantum ESPRESSO project









IOP Publishing

Journal of Physics: Condensed Matter

J. Phys.: Condens. Matter **29** (2017) 465901 (30pp)

<https://doi.org/10.1088/1361-648X/aa8f79>

Advanced capabilities for materials modelling with QUANTUM ESPRESSO

P Giannozzi¹ , O Andreussi^{2,9}, T Brumme³, O Bunau⁴, M Buongiorno Nardelli⁵, M Calandra⁴, R Car⁶, C Cavazzoni⁷, D Ceresoli⁸, M Cococcioni⁹, N Colonna⁹, I Carnimeo¹, A Dal Corso^{10,32}, S de Gironcoli^{10,32}, P Delugas¹⁰, R A DiStasio Jr¹¹, A Ferretti¹², A Floris¹³, G Fratesi¹⁴ , G Fugallo¹⁵, R Gebauer¹⁶, U Gerstmann¹⁷, F Giustino¹⁸, T Gorni^{4,10}, J Jia¹¹, M Kawamura¹⁹ , H-Y Ke⁶ , A Kokalj²⁰, E Küçükbenli¹⁰, M Lazzeri⁴, M Marsili²¹ , N Marzari⁹, F Mauri²², N L Nguyen⁹, H-V Nguyen²³, A Otero-de-la-Roza²⁴, L Paulatto⁴, S Poncé¹⁸, D Rocca^{25,26}, R Sabatini²⁷, B Santra⁶ , M Schlipf¹⁸, A P Seitsonen^{28,29}, A Smogunov³⁰, I Timrov⁹ , T Thonhauser³¹, P Umari^{21,32}, N Vast³³, X Wu³⁴ and S Baroni¹⁰ 

The Quantum ESPRESSO project

ESPRESSO: opEn **S**ource **P**ackage for **R**esearch in **E**lectronic **S**tructure,
Simulation and **O**ptimization



Quantum ESPRESSO

Pwscf code
(pw.x)

Density Functional Theory
(DFT):
ground state properties
(total energy, forces, stress
tensor...)

PHonon code
(ph.x)

Density Functional
Perturbation Theory
(DFPT):
lattice vibrations

CP code
(cp.x)

Car-Parrinello molecular
dynamics

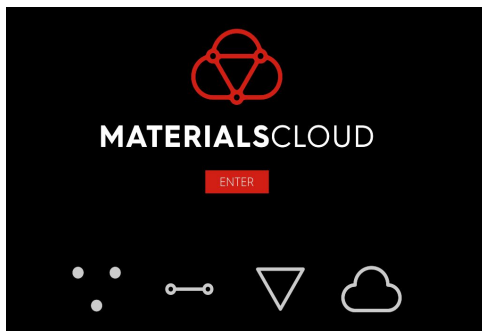
TDDFPT code
(turbo_lanczos.x)

Time-dependent DFPT
spectroscopy

... and there are many other components of this project.

The Quantum ESPRESSO project

Tutorials and lectures:



<https://www.materialscloud.org/learn/sections>



<https://www.quantum-espresso.org/resources/tutorials>

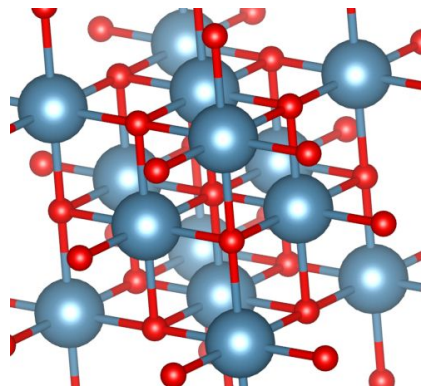
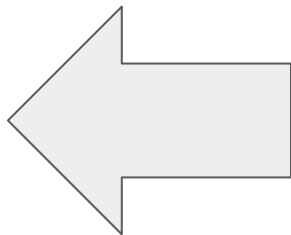
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Input file for the pw.x code

In this lab we will study electronic properties of the **bulk CaO – calcium oxide**
The input file for this system looks like this (**CaO_primitive.scf.in**):

```
1 &control
2   calculation = 'scf'
3   restart_mode = 'from_scratch'
4   prefix = 'CaO'
5   tstress = .true.
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7   pseudo_dir = './pseudopotentials/'
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9 /
10 &system
11   ibrav = 2
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Self-consistent field (SCF): iterative solution of the Kohn-Sham (KS) equations:

convergence
threshold to stop SCF

initial guess

$$n(\mathbf{r}) = \sum_{n,\mathbf{k}} |\psi_{n,\mathbf{k}}(\mathbf{r})|^2$$



new

$$\left(-\frac{1}{2} \nabla^2 + \hat{V}_{\text{loc}} + \hat{V}_{\text{NL}} + \hat{V}_{\text{HXC}} \right) \psi_{n,\mathbf{k}}(\mathbf{r}) = \varepsilon_{n,\mathbf{k}} \psi_{n,\mathbf{k}}(\mathbf{r})$$

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The prefix for temporary files

The name and the path to the folder, where temporary files will be written

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Calculation of the **stress tensor**

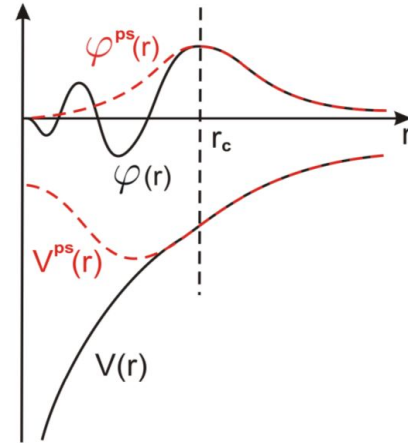
Calculation of the **forces**

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The path to the location of the pseudopotential



Pseudopotential file names

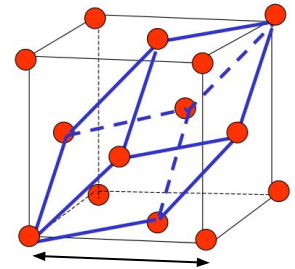
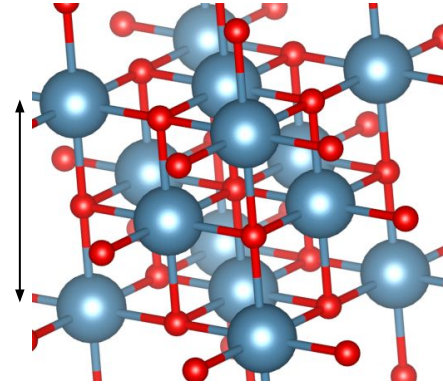
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Bravais lattice index (FCC)

lattice parameter,
units are bohr



lattice parameter = 9.0 bohr

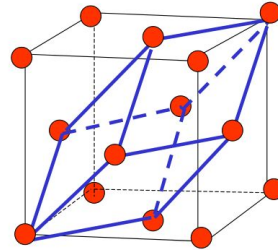
atomic coordinates (unit cell), in fractional
units, i.e. units of alat = celldm(1)

Input file for the pw.x code

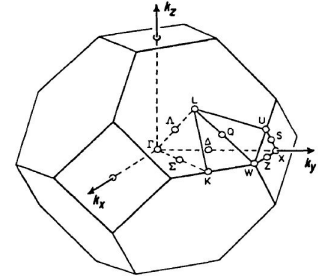
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Real space
(unit cell)




Reciprocal space
(first Brillouin Zone)



k points sampling of the Brillouin zone using the Monkhorst-Pack scheme

- 4x4x4 uniform grid
- 0 0 0 means that the grid is not shifted with respect to the center of the BZ

Symmetries  Irreducible wedge of the BZ

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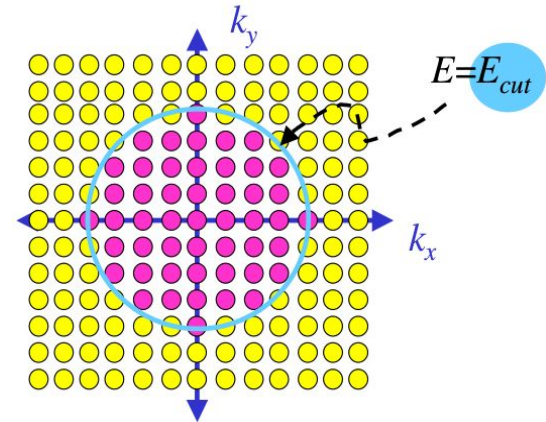
$$\psi_{n,\mathbf{k}}(\mathbf{r}) = \sum_{\mathbf{G}} c_{n,\mathbf{k}+\mathbf{G}} e^{i(\mathbf{k}+\mathbf{G})\cdot\mathbf{r}}$$

KS wavefunctions are expanded in
a **plane-wave basis set** up to a
cutoff G_{cut}

Kinetic energy cutoff E_{cut}
units are Ry

E_{cut} defines G_{cut} :

$$\frac{\hbar^2}{2m} |\mathbf{k} + \mathbf{G}|^2 \leq E_{cut}$$



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Performing the calculation

To perform a single pw.x calculation, it is necessary to execute the following command:

The diagram shows the command `pw.x < CaO_primitive.scf.in > CaO_primitive.scf.out` with several annotations. Above the command, three blue labels have arrows pointing to specific parts: 'Pwscf code' points to 'pw.x', 'Input file' points to '< CaO_primitive.scf.in', and 'Output file' points to '> CaO_primitive.scf.out'. Below the command, two green labels have arrows pointing to the redirection operators: 'read data from the input file' points to '<', and 'write data to the output file' points to '>'.

```
pw.x < CaO_primitive.scf.in > CaO_primitive.scf.out
```

Pwscf code

Input file

Output file

read data from the input file

write data to the output file